

## INFORMATION DISCLOSURE STATEMENT

Applicant : Kagan et al.  
App. No : 10/698,148  
Filed : October 31, 2003  
For : APPARATUS AND METHODS FOR  
TREATMENT OF MORBID OBESITY  
Examiner : Phillip A. Gray  
Art Unit : 3767

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(Date)

  
Bryan Wahl, Reg. No. 58,878

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 73 references to be considered by the Examiner. Also enclosed are 13 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

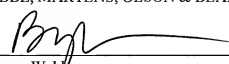
Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: 4/27/07

By:   
Bryan Wahl  
Registration No. 58,878  
Attorney of Record  
Customer No. 20,995  
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 3

Application No.	10/698148
Filing Date	October 31, 2003
First Named Inventor	Jonathan Kagan
Art Unit	3767
Examiner	Phillip A. Gray
Attorney Docket No.	VALTX.001A

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	5,431,666	08-1995	Sauer et al.	
	2	5,582,616	12-1996	Bolduc et al.	
	3	5,645,568	07-1997	Chervitz et al.	
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	5	5,997,556	12-1999	Tanner	
	6	6,193,733 B1	02-2001	Adams	
	7	6,285,897 B1	09-2001	Kilcoyne et al.	
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	10	6,520,974 B2	02-2003	Tanner et al.	
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	16	2003/0018358 A1	01-2003	Saadat	
	17	2003/0055313 A1	03-2003	Anderson et al.	
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	21	2003/0130561 A1	07-2003	Suzuki et al.	
	22	2003/0139752 A1	08-2003	Pasricha et al.	
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	24	2003/0208209 A1	11-2003	Gambale et al.	
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	28	2004/0059349 A1	03-2004	Sixto Jr. et al.	
	29	2004/0059354 A1	03-2004	Smith	

Examiner Signature

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

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	Art Unit	3767	
(Multiple sheets used when necessary)		Examiner	Phillip A. Gray
SHEET 2 OF 3		Attorney Docket No.	VALTX.001A

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	30	2004/0097987 A1	05-2004	Pugsley et al.	
	31	2004/0116949 A1	06-2004	Ewers, et al.	
	32	2004/0133219 A1	07-2004	Forsell	
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	43	2004/0249367 A1	12-2004	Saadat et al.	
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	58	2007/0010864	01-2007	Dann et al.	

Examiner Signature	Date Considered
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SHEET 3 OF 3

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	59	2007/0010865	01-2007	Dann et al.	
	60	2007/0010866	01-2007	Dann et al.	

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Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	61	WO 1999/60931 A1	12-1999	WIPO		
	62	WO 2001/35834 A1	05-2001	WIPO		
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	71	WO 2005/011463 A2	02-2005	WIPO		
	72	WO 2005/011519 A2	02-2005	WIPO		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	73	Three-dimensional manometric imaging of the lower esophageal sphincter, Hubert J. Stein, Md. Surgery, 1995 Vol. 117 No. 6 pp 692-698	

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